## ORIGINAL

1 2 LEONARDO M. RAPADAS DISTRICT COURT OF GUAM United States Attorney MARIVIC P. DAVID 3 MAY 3 1 2006 Assistant U.S. Attorney Suite 500, Sirena Plaza 4 MARY L.M. MORAN 108 Hernan Cortez Avenue Hagatna, Guam 96910 **CLERK OF COURT** TEL: (671) 472-7332 FAX: (671) 472-7334 6 7 Attorneys for the United States of America 8 IN THE UNITED STATES DISTRICT COURT 9 FOR THE DISTRICT OF GUAM 10 UNITED STATES OF AMERICA. 11 CRIMINAL CASE NO. 04-00008 Plaintiff. 12 UNITED STATES' 13 vs. [PROPOSED] **IURY INSTRUCTIONS** 14 KUANG-HUA CHEN, 15 Defendant. 16 17 18 In addition to the Court's usual instructions, the Government hereby requests the Court to 19 instruct the jury as set forth in the attached proposed jury instructions. In addition, an 20 unnumbered set, without authorities, is submitted on diskette in the event the Court wishes to 21 submit the instructions to the jury. 22 23 24 LEONARDO M. RAPADAS United States Attorney 25 Districts of Guam and NMI 26 27 By: MARÍVIC P. DAVID 28 Assistant U.S. Attorney

Case 1:04-cr-00008

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#### INSTRUCTION NO. 1

#### **DUTIES OF JURY TO FIND FACTS AND FOLLOW LAW**

Members of the jury, now that you have heard all the evidence and the arguments of the attorneys, it is my duty to instruct you on the law which applies to this case. A copy of these instructions will be available in the jury room for you to consult if you find it necessary.

It is your duty to find the facts from all the evidence in the case. To those facts you will apply the law as I give it to you. You must follow the law as I give it to you whether you agree with it or not. And you must not be influenced by any personal likes or dislikes, opinions, prejudices or sympathy. That means that you must decide the case solely on the evidence before you. You will recall that you took an oath promising to do so at the beginning of the case.

In following my instructions, you must follow all of them and not single out some and ignore others; they are all equally important. And you must not read into these instructions or into anything the court may have said or done any suggestion as to what verdict you should return -- that is a matter entirely up to you.

#### INSTRUCTION NO. 2

## CHARGES AGAINST DEFENDANT NOT EVIDENCE, PRESUMPTION OF INNOCENCE, BURDEN OF PROOF

The superseding indictment in this case accuses the defendant, KUANG-HUA CHEN, of certain crimes.

The superseding indictment says that - Count I - beginning on or about January 3, 2003, and continuously thereafter, up to and including September 7, 2003, in the District of Guam and elsewhere, the defendant herein KUANG-HUA CHEN did knowingly and intentionally combine, conspire, confederate and agree together and with other co-conspirators, both known and unknown, to import into the United States from a place outside thereof, 3,000 grams, net weight, of methamphetamine hydrochloride also known as "ice," a schedule II controlled substance, in violation of Title 21, United States Code, Sections 952(a), 960, and 963.

Count II states that on or about August 26, 2003, in the District of Guam and elsewhere, the defendant herein KUANG-HUA CHEN, did unlawfully, intentionally, and knowingly aid and abet and willfully cause to import into the United States from a place outside thereof, over 2800 grams, net weight, of N, N-dimethylamphetamine hydrochloride, a schedule I controlled substance, in violation of Title 21, United States Code, Sections 952(a) and 960, and Title 18, United States Code, Section 2.

Count III states that beginning on or about January 3, 2003, and continuously thereafter, up to and including September 7, 2003, in the District of Guam and elsewhere, the defendant herein, KUANG-HUA CHEN, did knowingly and intentionally combine, conspire, confederate and agree together with other co-conspirators, both known and unknown to the Grand Jury, to distribute 3000 grams, net weight, of methamphetamine hydrochloride also known as "ice," a schedule II controlled substance, in violation of Title 21, United States Code, Sections 841(a)(1), 841(b), and 846.

Count IV states that between and on or about August 26, 2003, and September 7, 2003, in the District of Guam and elsewhere, the defendant herein, KUANG-HUA CHEN, did unlawfully, intentionally, and knowingly possess with intent to distribute over 2800 grams, net weight, of N,

N-dimethylamphetamine hydrochloride, a schedule I controlled substance, in violation of Title 21, United States Code, Sections 841(a)(1) and 841(b), and Title 18, United States Code, Section 2.

The superseding indictment is not evidence. The defendant has pleaded not guilty to the charge. The defendant is presumed to be innocent and does not have to testify or present any evidence to prove innocence. The government has the burden of proving every element of the charge beyond a reasonable doubt.

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#### INSTRUCTION NO. 3

#### **REASONABLE DOUBT - DEFINED**

Proof beyond a reasonable doubt is proof that leaves you firmly convinced that the defendant is guilty. It is not required that the government prove guilt beyond all possible doubt.

A reasonable doubt is a doubt based upon reason and common sense and is not based purely on speculation. It may arise from a careful and impartial consideration of all the evidence, or from lack of evidence.

If after a careful and impartial consideration of all the evidence, you are not convinced beyond a reasonable doubt that the defendant is guilty, it is your duty to find the defendant not guilty. On the other hand, if after a careful and impartial consideration of all the evidence, you are convinced beyond a reasonable doubt that the defendant is guilty, it is your duty to find the defendant guilty.

#### INSTRUCTION NO. 4

#### WHAT IS EVIDENCE

The evidence from which you are to decide what the facts are consists of:

- (1) the sworn testimony of any witness;
- (2) the exhibits which have been received into evidence; and
- (3) any facts to which all the lawyers have stipulated.

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#### INSTRUCTION NO. 5

#### WHAT IS NOT EVIDENCE

In reaching your verdict you may consider only the testimony and exhibits received into evidence. Certain things are not evidence and you may not consider them in deciding what the facts are. I will list them for you:

- 1. Arguments and statements by lawyers are not evidence. The lawyers are not witnesses. What they have said in their opening statements, closing arguments and at other times is intended to help you interpret the evidence, but it is not evidence. If the facts as you remember them differ from the way the lawyers have stated them, your memory of them controls.
- 2. Questions and objections by lawyers are not evidence. Attorneys have a duty to their clients to object when they believe a question is improper under the rules of evidence. You should not be influenced by the objection or by the court's ruling on it.
- 3. Testimony that has been excluded or stricken, or that you have been instructed to disregard, is not evidence and must not be considered. In addition, some testimony and exhibits have been received only for a limited purpose; where I have given a limiting instruction, you must follow it.
- 4. Anything you may have seen or heard when the court was not in session is not evidence. You are to decide the case solely on the evidence received at the trial.

#### INSTRUCTION NO. 6

#### **DIRECT AND CIRCUMSTANTIAL EVIDENCE**

Evidence may be direct or circumstantial. Direct evidence is direct proof of a fact, such as testimony of an eyewitness. Circumstantial evidence is indirect evidence, that is, proof of a chain of facts from which you could find that another fact exists, even though it has not been proved directly. You are to consider both kinds of evidence. The law permits you to give equal weight to both, but it is for you to decide how much weight to give to any evidence.

#### INSTRUCTION NO. 7

#### **CREDIBILITY OF WITNESSES**

In deciding the facts in this case, you may have to decide which testimony to believe and which testimony not to believe. You may believe everything a witness says, or part of it, or none of it. In considering the testimony of any witness, you may take into account:

- 1. the opportunity and ability of the witness to see or hear or know the things testified to;
- 2. the witness' memory;
- 3. the witness' manner while testifying;
- 4. the witness' interest in the outcome of the case and any bias or prejudice;
- 5. whether other evidence contradicted the witness' testimony;
- 6. the reasonableness of the witness' testimony in light of all the evidence; and
- 7. any other factors that bear on believability.

The weight of the evidence as to a fact does not necessarily depend on the number of witnesses who testify.

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#### INSTRUCTION NO. 8

## EVIDENCE OF OTHER ACTS OF DEFENDANT OR ACTS AND STATEMENTS OF OTHERS

You are here only to determine whether each of the defendants is guilty or not guilty of the charge in the indictment. Your determination must be made only from the evidence in the case. Each defendant is not on trial for any conduct or offense not charged in the indictment. You should consider evidence about the acts, statements, and intentions of others, or evidence about other acts of the defendant, only as they relate to this charge against each defendant.

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## INSTRUCTION NO. 9

#### STATEMENTS BY DEFENDANT

You have heard testimony that the defendant made statements. It is for you to decide (1) whether the defendant made the statements and (2) if so, how much weight to give to it. In making those decisions, you should consider all of the evidence about the statement, including the circumstances under which the defendant may have made it.

#### INSTRUCTION NO. 10

#### FOREIGN LANGUAGE TESTIMONY

You have heard testimony from a certain government witness who testified in Mandarin. This witness testified through the official court interpreter. Although some of you may know Mandarin, it is important that all jurors consider the same evidence. Therefore, you must accept the English translation of the witness's testimony. You must disregard any different meaning.

#### INSTRUCTION NO. 11

#### TRANSCRIPT OF RECORDING IN FOREIGN LANGUAGE

You have listened to tape recordings in Mandarin. Each of you were given transcripts of the recordings which have been admitted into evidence. The transcripts are a translation of the foreign language tape recordings.

Although some of you may know Mandarin, it is important that all jurors consider the same evidence. Therefore, you must accept the English translation contained in the transcript and disregard any different meaning.

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#### INSTRUCTION NO. 12

#### JURY TO BE GUIDED BY OFFICIAL ENGLISH INTERPRETATION

Mandarin has been used during this trial.

The evidence you are to consider is only that provided through the official court interpreter. Although some of you may know Mandarin, it is important that all jurors consider the same evidence. Therefore, you must base your decision on the evidence presented in the English interpretation. You must disregard any different meaning.

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#### INSTRUCTION NO. 13

#### OTHER CRIMES, WRONGS, OR ACTS OF DEFENDANT

You have heard evidence of other wrongs engaged in by the defendant. You may consider that evidence only as it bears on the defendant's intent, knowledge, absence of mistake or accident and for no other purpose.

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#### INSTRUCTION NO. 14

#### **DEPOSITION AS SUBSTANTIVE EVIDENCE**

A deposition is the sworn testimony of a witness taken before trial. The witness is placed under oath to tell the truth and lawyers for each party may ask questions. The questions and answers are recorded.

The deposition of Hung Chang Yeh, which was taken on March 30, 2006, was presented to you. Deposition testimony is entitled to the same consideration and is to be judged, insofar as possible, in the same way as if the witness had been present to testify.

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#### INSTRUCTION NO. 15

## TESTIMONY OF WITNESSES INVOLVING SPECIAL CIRCUMSTANCES - BENEFITS, PLEA

You have heard testimony from a certain government witness who pleaded guilty to a crime arising out of the same events for which the defendant is on trial. This guilty plea is not evidence against the defendant, and you may consider it only in determining the witness's believability.

You have also heard testimony from certain government witnesses who received benefits or compensation or favored treatment from the government in connection with this case.

For this reason, in evaluating these witnesses' testimony, you should consider the extent to which or whether the testimony may have been influenced by this factor. In addition, you should examine their testimony with greater caution than that of other witnesses.

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#### INSTRUCTION NO. 16

#### **GOVERNMENT'S USE OF UNDERCOVER AGENTS AND INFORMANTS**

You have heard testimony from a certain undercover agent and certain informants who were involved in the government's investigation in this case. Law enforcement officials are not precluded from engaging in stealth and deception, such as the use of informants and undercover agents, in order to apprehend persons engaged in criminal activities. Undercover agents and informants may properly make use of false names and appearances and may properly assume the roles of members in criminal organizations. The government may utilize a broad range of schemes and ploys to ferret out criminal activity.

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#### INSTRUCTION NO. 17

#### OPINION EVIDENCE, EXPERT WITNESS

You have heard testimony from persons who, because of education or experience, are permitted to state opinions and the reasons for their opinions.

Opinion testimony should be judged just like any other testimony. You may accept it or reject it, and give it as much weight as you think it deserves, considering the witness' education and experience, the reasons given for the opinion, and all the other evidence in the case.

#### INSTRUCTION NO. 18

#### SEPARATE CONSIDERATION OF MULTIPLE COUNTS - SINGLE DEFENDANT

A separate crime is charged against the defendant in each count. You must decide each count separately. Your verdict on one count should not control your verdict on any other count.

#### INSTRUCTION NO. 19

#### **CONSPIRACY—ELEMENTS**

The defendant is charged in Count I of the superseding indictment with conspiracy to import methamphetamine hydrochloride in violation of Sections 952(a), 960, and 963 of Title 21 of the United States Code. Count III of the superseding indictment charges a separate conspiracy; conspiracy to distribute methamphetamine hydrochloride in violation of Sections 841(a)(1), 841(b) and 846 of Title 21 of the United States Code. In a moment I will discuss the elements of each of these offenses which the government must prove beyond a reasonable doubt.

First, however, I shall discuss with you what a "conspiracy" is under the laws of the United States. A conspiracy is a kind of criminal partnership—an agreement of two or more persons to commit one or more crimes. The crime of conspiracy is the agreement to do something unlawful; it does not matter whether the crime agreed upon was committed.

For a conspiracy to have existed, it is not necessary that the conspirators made a formal agreement or that they agreed on every detail of the conspiracy. It is not enough, however, that they simply met, discussed matters of common interest, acted in similar ways, or perhaps helped one another. You must find that there was a plan to commit at least one of the crimes alleged in the indictment as an object of the conspiracy with all of you agreeing as to the particular crime which the conspirators agreed to commit.

One becomes a member of a conspiracy by willfully participating in the unlawful plan with the intent to advance or further some object or purpose of the conspiracy, even though the person does not have full knowledge of all the details of the conspiracy. Furthermore, one who willfully joins an existing conspiracy is as responsible for it as the originators. On the other hand, one who has no knowledge of a conspiracy, but happens to act in a way which furthers some object or purpose of the conspiracy, does not thereby become a conspirator. Similarly, a person does not become a conspirator merely by associating with one or more persons who are conspirators, nor merely by knowing that a conspiracy exists.

In order for the defendant to be found guilty of that charge, the government must prove each of the following elements beyond a reasonable doubt:

As to Count I, the government must prove beyond a reasonable doubt each of the following elements:

First, beginning on or about January 3, 2003, and continuing up to and including September 7, 2003, there was an agreement between two or more persons to commit the crime of importation of methamphetamine hydrochloride; and

Second, the defendant became a member of the conspiracy knowing of at least one of its objects and intending to help accomplish it.

As to Count III, in order for the defendant to be found guilty of that charge, the government must prove beyond a reasonable doubt each of the following elements:

First, beginning on or about January 3, 2003, and continuing up to and including September 7, 2003, there was an agreement between two or more persons to commit the crime of distribution of methamphetamine hydrochloride; and

Second, the defendant became a member of the conspiracy knowing of at least one of its objects and intending to help accomplish it.

AUTHORITY: Manual of Model Jury Instructions for the Ninth Circuit, 2003 Ed., § 8.16

INSTRUCTION NO. 20

#### CONSPIRACY- KNOWING OF AND ASSOCIATION WITH OTHER CONSPIRATORS

A conspiracy may continue for a long period of time and may include the performance of many transactions. It is not necessary that all members of the conspiracy join it at the same time, and one may become a member of a conspiracy without full knowledge of all the details of the unlawful scheme or the names, identities, or locations of all of the other members.

Even though a defendant did not directly conspire with other conspirators in the overall scheme, the defendant has, in effect, agreed to participate in the conspiracy if it is proved beyond a reasonable doubt that:

- (1) the defendant directly conspired with one or more conspirators to carry out at least one of the objects of the conspiracy,
- (2) the defendant knew or had reason to know that other conspirators were involved with those with whom the defendant directly conspired, and
- (3) the defendant had reason to believe that whatever benefits the defendant might get from the conspiracy were probably dependent upon the success of the entire venture.

It is no defense that a person's participation in a conspiracy was minor or for a short period of time.

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#### INSTRUCTION NO. 21

#### **UNLAWFUL IMPORTATION**

The defendant is charged in Count II of the superseding indictment with unlawful importation of N, N-dimethylamphetamine hydrochloride in violation of Sections 952 and 960 of Title 21 of the United States Code. In order for the defendant to be found guilty of that charge, the government must prove each of the following elements beyond a reasonable doubt:

First, the defendant knowingly brought N, N-dimethylamphetamine hydrochloride into the United States; and

Second, the defendant knew that it was N, N-dimethylamphetamine hydrochloride or some other prohibited drug.

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#### INSTRUCTION NO. 22

#### POSSESSION WITH INTENT TO DISTRIBUTE

The defendant is charged in Count IV of the superseding indictment with possession with intent to distribute N, N-dimethylamphetamine hydrochloride in violation of Sections 952 and 960 of Title 21 of the United States Code. In order for the defendant to be found guilty of that charge, the government must prove each of the following elements beyond a reasonable doubt:

First, the defendant knowingly possessed N, N-dimethylamphetamine hydrochloride; and Second, the defendant possessed it with the intent to deliver it to another person.

It does not matter whether the defendant knew that the substance was N, N-dimethylamphetamine hydrochloride. It is sufficient that the defendant knew that it was some kind of prohibited drug.

To "possess with intent to distribute" means to possess with intent to deliver or transfer possession of a controlled substance to another person, with or without any financial interest in the transaction.

#### **KNOWINGLY**

An act is done knowingly if a defendant is aware of the act and does not act through ignorance, mistake or accident. The government is not required to prove that a defendant knew that his acts or omissions were unlawful. You may consider evidence of a defendant's words, acts or omissions, along with all the other evidence, in deciding whether a defendant acted knowingly.

#### **POSSESSION**

A person has possession of something if the person knows of its presence and has physical control of it, or knows of its presence and has the power and intention to control it.

More than one person can be in possession of something if each knows of its presence and has the power and intention to control it.

#### **DISTRIBUTE**

The term "distribute" means to deliver or transfer possession or control of a controlled substance from one person to another. A sale or an exchange of money is not required for a distribution.

AUTHORITY: 21 U.S.C. §§ 802(8) and (11);

Devitt, Blackmar & O'Malley, Federal Jury Practice
and Instructions, 4th Ed., § 54.04
United States v. Ramirez, 608 F.2d 1261, 1264 (9th Cir. 1979);
United States v. Brown, 761 F.2d 1272, 1278 (9th Cir. 1985)

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#### INSTRUCTION NO. 26

#### **AIDING AND ABETTING**

A defendant may be found guilty of a crime, even if the defendant personally did not commit the act or acts constituting the crime but aided and abetted in its commission. To prove a defendant guilty of aiding and abetting any particular crime charged in the Superseding Indictment, the government must prove beyond a reasonable doubt:

First, that crime was committed by someone;

Second, the defendant knowingly and intentionally aided, counseled, commanded, induced or procured that person to commit the crime; and

Third, the defendant acted before the crime was completed.

It is not enough that the defendant merely associated with the person committing the crime, or unknowingly or unintentionally did things that were helpful to that person, or was present at the scene of the crime.

The evidence must show beyond a reasonable doubt that the defendant acted with the knowledge and intention of helping that person commit the crime.

The government is not required to prove precisely which defendant actually committed the crime and which defendant aided and abetted.

#### **DATES**

The superseding indictment charges that the crimes occurred on approximately a certain date or between certain dates. The government does not have to prove that the crimes happened on those exact dates. But the government must prove that the crime occurred reasonably close to the dates alleged in the superseding indictment.

AUTHORITY: <u>U.S. v. Laykin</u>, 886 F.2d 1534, 1543 (9th Cir. 1989).

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#### INSTRUCTION NO. 28

#### **DUTY TO DELIBERATE**

When you retire, you should elect one member of the jury as your foreperson. That person will preside over the deliberations and speak for you here in court.

You will then discuss the case with your fellow jurors to reach agreement if you can do

Your verdict, whether guilty or not guilty, must be unanimous.

Each of you must decide the case for yourself, but you should do so only after you have considered all the evidence, discussed it fully with other jurors, and listened to the views of your fellow jurors.

Do not be afraid to change your opinion if the discussion persuades you that you should. But do not come to a decision simply because other jurors think it is right.

It is important that you attempt to reach a unanimous verdict but, of course, only if each of you can do so after having made your own conscientious decision. Do not change an honest belief about the weight and effect of the evidence simply to reach a verdict.

#### INSTRUCTION NO. 29

#### **CONSIDERATION OF EVIDENCE**

Your verdict must be based solely on the evidence and on the law as I have given it to you in these instructions. However, nothing that I have said or done is intended to suggest what your verdict should be--that is entirely for you to decide.

Some of you have taken notes during the trial. Such notes are only for the personal use of the person who took them.

#### **USE OF NOTES**

Some of you have taken notes during the trial. Whether or not you took notes, you should rely on your own memory of what was said. Notes are only to assist your memory. You should not be overly influenced by the notes.

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#### INSTRUCTION NO. 31

#### JURY CONSIDERATION OF PUNISHMENT

The punishment provided by law for this crime is for the court to decide. You may not consider punishment in deciding whether the government has proved its case against the defendant beyond a reasonable doubt.

#### **VERDICT FORM**

A verdict form has been prepared for you. After you have reached unanimous agreement on a verdict, your foreperson will fill in the form that has been given to you, sign and date it and advise the marshal or bailiff outside your door that you are ready to return to the courtroom.

#### **COMMUNICATION WITH THE COURT**

If it becomes necessary during your deliberations to communicate with me, you may send a note through the bailiff, signed by your foreperson or by one or more members of the jury. No member of the jury should ever attempt to communicate with me except by a signed writing; and I will communicate with any member of the jury on anything concerning the case only in writing, or orally here in open court. If you send out a question, I will consult with the parties before answering it, which may take some time. You may continue your deliberations while waiting for the answer to any question. Remember that you are not to tell anyone -- including me -- how the jury stands, numerically or otherwise, on the question of the guilt of the defendant, until after you have reached a unanimous verdict or have been discharged.